

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF TEXAS
HOUSTON DIVISION**

UNITED STATES OF AMERICA**vs.****RICHARD PLEZIA**

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Criminal No. H 19-450

DEFENDANT RICHARD PLEZIA'S FIFTH AMENDED EXHIBIT LIST

Exhibit Number	Description	Offered	Object	Date Admitted	Date Excluded
1	Aug. 24, 2010, Proposal to JMS	YES		11/3/22	
2	Dec. 5, 2013, Assignment of Proceeds	YES		11/3/22	
3	Nov 5, 2013, letter from American General Life Co. with November notations.	YES		11/3/22	
4	Nov. 5, 2013, letter from American General Life Co. with December notations	YES	Need complete Document	1/5/23 ¹	
5	June 2013 Purchase and Sell agreement	YES		11/3/22	
6	Bel Mark invoices	YES		11/3/22	
7	Bel Mark Transactions 10/26/10 - May 2013	YES		11/3/22	
8	January 2011 – December 2013 Advertising Transaction Report	YES		11/3/22	
9	LeReve/Lerev Invoices	YES		11/3/22	
10	LeReve/Lerev Transaction Reports	YES		11/3/22	
11	2011 Advertising/Promotional	YES		11/3/22	

¹ This is based on the court's directive at the pretrial conference regarding this exhibit and Defendant supplementing with the full document.

	Transaction Report				
12	Richard Plezia 2010 Checks	YES		11/3/22	
12a	Check #51098	YES		11/3/22	
12b	Check #51110	YES		11/3/22	
12c	Check #51116	YES		11/3/22	
12d	Check #51135	YES		11/3/22	
12e	Check #1550	YES		11/3/22	
12f	Check #51188	YES		11/3/22	
12g	Check #51203	YES		11/3/22	
12h	Check #1033	YES		11/3/22	
12i	Check #1034	YES		11/3/22	
13	Richard Plezia 2011 Checks	YES		11/3/22	
13a	Check #15327	YES		11/3/22	
13b	Check #15342 and 1037	YES		11/3/22	
13c	Check #15352	YES		11/3/22	
13d	Check #15366	YES		11/3/22	
13e	Check #51509	YES		11/3/22	
13f	Check #15395	YES		11/3/22	
13g	Check #51578	YES		11/3/22	
13h	Check #1839	YES		11/3/22	
14	Richard Plezia 2012 Checks	YES		11/3/22	
14a	Check #51706	YES		11/3/22	
15	Richard Plezia 2013 Checks	YES		11/3/22	
15a	Check #1071	YES		11/3/22	
15b	Check #52343	YES		11/3/22	
15c	Check #52447	YES		11/3/22	
16	Esquivel Chase Bank Records	YES		1/5/23	
17	Esquivel Frost Bank Records	YES		11/3/22	
18	Esquivel Wells Fargo Bank Records	YES		1/5/23	
19	Esquivel Tran Law Group Records	YES		1/5/23	
20	Gov. Payment Schedule	YES		11/3/22	
21	Plezia Frost Bank Statements	YES		11/3/22	
22	Quickbooks Report, Check #51949	YES	Need Custodian		
23	Marcus Esquivel 2010 Tax Return	YES		11/3/22	

24	Jeffrey Stern Check #1306 to Marcus Esquivel	YES		11/3/22	
25	PowerEdge T710 ship date	YES		11/3/22	
26	July 27, 2022 email from Heather Winter	YES	Agent		1/5/23
27	Bridgit White May 29, 2019, re: Investigator	YES		11/3/22	
28	Bridgit White February 1, 2019, re: Investigator	YES		11/3/22	
29	Marcus Esquivel December 2013 check	YES		11/3/22	
30	RJP BP cases	YES	Need Custodian		
31	RJP BP Cartwright cases	YES	Need Custodian		
32	Original Petition in Cause No. 10-cv-4110	YES		11/3/22	
33	Original Petition in Cause No. 12-cv-0170	YES		11/3/22	
34	Original Petition in Cause No. 12-cv-0605	YES		11/3/22	
35	Original Petition in Cause No. 12-cv-0606	YES		11/3/22	
36	Original Petition in Cause No. 12-cv-1772	YES		11/3/22	
37	BP Exposure Fact Sheet	YES		11/3/22	
38	BP Docket Control Order	YES		11/3/22	
39	BP Jury Verdict in Cause No, 12-cv-0648-A	YES		11/3/22	
40	Michelle Gaiser Complaint	NO	Withdrawn		
41	Michelle Gaiser Indictment	NO	Withdrawn		
42	Michelle Gaiser Conviction	NO	Withdrawn		
43	Jeffrey Stern Indictment	NO	Withdrawn		
44	Jeffrey Stern Motion and Dismissal	NO	Withdrawn		
45	August 2010 Cartwright-Plezia agreement	YES		1/5/23	
46	August 5, 2010 Cartwright Letter	YES		1/5/23	

47	December 7, 2010 Cartwright Letter	YES		1/5/23	
48	December 9, 2011 Correspondence Cartwright to Plezia	YES		1/5/23	
49	December 21, 2011 Correspondence Cartwright to Plezia	YES		1/5/23	
50	January 26, 2011 email chain Marcus Esquivel	YES		11/3/22	
51	February 8, 2011 Marcus Esquivel email Re: BP client	YES		11/3/22	
52	April 19, 2013 Plezia PC Bank Statement	YES		11/3/22	
53	Thelma Johnson redacted client file	YES		11/3/22	
54	Vickie Randle redacted client file	YES		11/3/22	
55	Robert Warren redacted client file	YES		11/3/22	
56	BW redacted client file	YES		11/3/22	
57	Sample BP client medicals	YES		11/3/22	
58	BP Plaintiffs Pre-Trial Motions	YES		11/3/22	
59	JMS and YS Civil Fraud Penalty Lead Sheet	YES		11/3/22	
60	JMS checks to Tyrone Moncriste	YES		11/3/22	
61	JMS Checks to Broussard	YES		11/3/22	
62	May 2018 JMS and Collmer Agreement	YES		11/3/22	
63	2010, 2011, 2012, and 2013 1099 instructions	YES		11/3/22	
64	Plezia PC 2010 1099's	YES	Custodian needed		
65	Plezia PC 2011 1099's	YES	Custodian needed		
66	Plezia PC 2012 1099's	YES	Custodian needed		

67	Plezia PC 2013 1099's	YES	Custodian needed		
68	Plea Agreement – Lamont Ratcliff	YES		11/3/22	
69	Plea Agreement – Frederick Morris	YES		11/3/22	
70	Plea Agreement – Jeffrey Stern	YES		11/3/22	
71	September 16, 2017 email chain Dean Blumrosen	YES	Work product		1/5/23
72	November 4, 2013 email chain Alice Lima	YES		11/3/22	
73	January 7, 2014 email Paul Higdon	YES		11/3/22	
74	May 11, 2017 email Mark Levin	YES		11/3/22	
75	April 24, 2012 email chain Bennett Midio	YES		11/3/22	
76	November 25, 2013 email chain Deborah Bradley	YES		11/3/22	
77	April 23, 2012 email Aurelius Barba	YES		11/3/22	
78	April 24, 2012 email chain Bennett Midio	YES		11/3/22	
79	February 5, 2015 letter from Plezia to Southern Aircraft Services	YES		11/3/22	
80	Partial Sworn Statement in Proof of Loss	YES		11/3/22	
81	February 4, 2015 letter from Plezia to Community Bank	YES		11/3/22	
82	Maintenance Logs	YES		11/3/22	
83	Forensic Examination Report by Lone Star Group	YES	Prove up through expert		
84-A	Jeffrey Stern's Log				
84-B	Jeffrey Stern's Log				
84-C	Jeffrey Stern's Log				

Defendant reserves the right to amend this Fifth Amended Exhibit List as evidence is presented at trial.

Respectfully Submitted,

/S/ Christopher L. Tritico
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CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Fifth Amended Exhibit List has been forwarded to all attorneys via the Court's electronic notification system on this 11th day of January 2023.

/ s / Christopher L. Tritico
Christopher L. Tritico